

10/29/01

JC863  
U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 40]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets ]
5. Oath or Declaration [ Total Pages 3 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

Attorney Docket No.	2530 UAS1P
First Inventor	H. ODAKA
Title	Pharmaceutical Composition For The Treatment of Diabetes
Express Mail Label No.	EL 792689035 US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement (when there is an assignee)  Power of Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

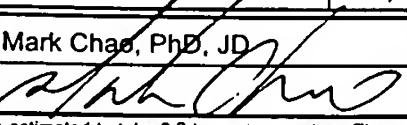
of prior application No.: 09 , 380.059

Prior application information: Examiner R. Cook

Group Art Unit: 1614

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label				<input type="checkbox"/> Correspondence address below
Name	23116			
Address				
City		State		Zip Code
Country		Telephone		Fax
Name (Print/Type)	Mark Chao, PhD, JD		Registration No. (Attorney/Agent)	37,293
Signature			Date	10/29/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

ORIGINALLY FILED

10/29/01 U.S. PTO  
JC9028**BEST AVAILABLE COPY**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 740.00)

## Complete if Known

Application Number	tba
Filing Date	tba
First Named Inventor	H. ODAKA
Examiner Name	tba
Group Art Unit	tba
Attorney Docket No.	2530 US1P

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	500799
Deposit Account Name	Takeda Chemical Indus. Ltd

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other
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## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	740
106	320	206 180 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
14	-20** = 0	X	= 0		
1	- 3** = 0	X	= 0		

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

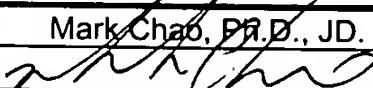
\*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES	Fee Description	Fee Paid
105	130 205 65 Surcharge - late filing fee or oath	
127	50 227 25 Surcharge - late provisional filing fee or cover sheet	
139	130 139 130 Non-English specification	
147	2,520 147 2,520 For filing a request for ex parte reexamination	
112	920* 112 920* Requesting publication of SIR prior to Examiner action	
113	1,840* 113 1,840* Requesting publication of SIR after Examiner action	
115	110 215 55 Extension for reply within first month	
116	390 216 195 Extension for reply within second month	
117	890 217 445 Extension for reply within third month	
118	1,390 218 695 Extension for reply within fourth month	
128	1,890 228 945 Extension for reply within fifth month	
119	310 219 155 Notice of Appeal	
120	310 220 155 Filing a brief in support of an appeal	
121	270 221 135 Request for oral hearing	
138	1,510 138 1,510 Petition to institute a public use proceeding	
140	110 240 55 Petition to revive - unavoidable	
141	1,240 241 620 Petition to revive - unintentional	
142	1,240 242 620 Utility issue fee (or reissue)	
143	440 243 220 Design issue fee	
144	600 244 300 Plant issue fee	
122	130 122 130 Petitions to the Commissioner	
123	50 123 50 Processing fee under 37 CFR 1.17(q)	
126	180 126 180 Submission of Information Disclosure Stmt	
581	40 581 40 Recording each patent assignment per property (times number of properties)	
146	710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710 279 355 Request for Continued Examination (RCE)	
169	900 169 900 Request for expedited examination of a design application	
Other fee (specify) _____		

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

BEST AVAILABLE COPY

SUBMITTED BY	Complete (if applicable)		
Name (Print/Type)	Mark Chao, P.R.D., JD.	Registration No. (Attorney/Agent)	37,293 Telephone (847) 383-3372
Signature		Date	10/29/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Express Mail #EL 192689035 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:	tba	Art Unit:	tba
Filed:	tba	Examiner:	tba
1 <sup>st</sup> Inventor:	H. ODAKA.	Allowed:	
For:	Pharmaceutical Composition For The Treatment of Diabetes	Batch:	
Atty. Dkt. No.	2530 US1P	Paper No.:	

**CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10****USPS EXPRESS MAIL LABEL. No. EL 792689035 US****DATE IN: October 29, 2001****Itemized Papers/Items:**

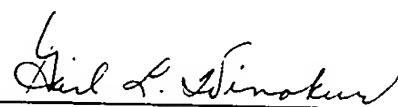
1. This certificate and a Return Receipt Postcard (2 pages.)
2. Transmittal Form (1 page) + Fee Transmittal (1 page X2)
3. Specification 40 total pages, including Claims 1-21 (3 pages.), Abstract (1 page)]
4. First Preliminary Amendment (9 pages)
5. Executed Declaration/Power of Attorney (3 pages) (copy)
6. Information Disclosure Statement (1 page) with Form PTO 1449 (2 pages)

The undersigned hereby certifies that the above itemized papers are together being deposited with the Express Mail Post Office to Addressee service of the United States Postal Service (USPS) in an envelope with sufficient postage, having the USPS Express Mail Label No. shown above, and addressed to:

BOX Patent Application  
 Commissioner for Patents  
 Washington, D.C. 20231.

on this date, October 29, 2001

Dated: 10/29/01




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 Gail L. Winokur